

Variations on a Theme of Stark

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<http://math.ucsd.edu/~erickson/starslides.pdf>

Outline

- I. Notation
- II. First Order Stark Conjectures
- III. Replacing an Assumption
- IV. Generalized First Order Stark Conjectures
- V. Results
- VI. Examples
- VII. Open Questions

I. Notation

K/k finite abelian extension of number fields.

$G = \text{Gal}(K/k)$, $\widehat{G} =$ characters of G .

v prime in k , w is a prime in K lying above v .

G_v is the decomposition group of v .

If v is unramified, σ_v is the Frobenius automorphism.

$$|\alpha|_v = \begin{cases} (\mathbf{N}v)^{-\text{ord}_v(\alpha)}, & \text{if } v \text{ is finite} \\ |\alpha|, & \text{if } v \text{ is real} \\ |\alpha|^2, & \text{if } v \text{ is complex} \end{cases}$$

If w lies over v , then $|\alpha|_v = |\alpha|_w^{\frac{1}{|G_v|}}$

S is a set of primes in k , including all ramified and infinite primes.

For $\operatorname{Re}(s) > 1$, the **imprimitive L -function** of χ and S is given by

$$L_S(s, \chi) = \prod_{v \notin S} \left(1 - \frac{\chi(\sigma_v)}{N_{v^s}} \right)^{-1}$$

This function can be extended holomorphically to the entire complex plane if χ is nontrivial, and meromorphically with a simple pole at $s = 1$ if χ is trivial.

If $\chi = \mathbf{1}$, the order of vanishing is $\#S - 1$. If $\chi \neq \mathbf{1}$, the order of vanishing is equal to the number of $v \in S$ such that $\chi|_{G_v} = 1$.

w_K is the number of roots of unity in K .

II. First Order Stark Conjectures (1980)

Conjecture 1 (Stark) *Suppose S contains at least one prime v_0 which splits completely. Fix some $w_0|v_0$. There exists an $\varepsilon \in K^\times$, unique up to root of unity, such that*

- i. For all primes w in K not dividing a prime in S , $|\varepsilon|_w = 1$.
If $S = \{v_0, v'\}$, then $|\varepsilon^\sigma|_{w'} = |\varepsilon|_{w'}$ for $w'|v'$ and all $\sigma \in G$.
If $\#S \geq 3$, then $|\varepsilon|_w = 1$ for all $w \nmid v_0$.*
- ii. For all $\chi \in \hat{G}$,*

$$L'_S(0, \chi) = -\frac{1}{w_\kappa} \sum_{\sigma \in G} \chi(\sigma) \log |\varepsilon^\sigma|_{w_0}$$

- iii. $K(\sqrt[w_\kappa]{\varepsilon})$ is an abelian extension of k .*

Examples of Stark Units

1. $K = \max$ real subfield of $\mathbb{Q}(\zeta_m)$, $k = \mathbb{Q}$, $S = \{p|m\} \cup \{\infty\}$.

Then $\varepsilon = \left(2 \sin \frac{\pi}{m}\right)^2 = (1 - \zeta_m)(1 - \zeta_m^{-1})$.

2. $K = \mathbb{Q}(\zeta_m)$, $k = \mathbb{Q}$, $S = \{p|m\} \cup \{\infty\} \cup \{p_0 \equiv 1 \pmod{m}\}$.

Then $\varepsilon = \left(\frac{\mathcal{G}(p_0)}{\sqrt{p_0}}\right)^{w_K}$ is a power of a normalized Gauss sum.

III. Replacing an Assumption

The purpose of the splitting prime v_0 is to create a first order zero at $s = 0$ for all $L_S(s, \chi)$.

To get a first order zero conjecture, it is enough to choose any set S such that $L_S(0, \chi) = 0$ for all $\chi \in \widehat{G}$. We call S a **1-covering** of \widehat{G} .

The **minimal 1-subcovering**, denoted by S_{\min} , consists of all $v \in S$ such that for some $\chi \in \widehat{G}$, $\chi|_{G_v} = 1$ and $L_S(s, \chi)$ has precisely a first order zero at $s = 0$.

Denote S_0 as the set of primes in S not in S_{\min} .

Examples of 1-coverings

$\mathbb{Q}(\zeta_{12})/\mathbb{Q}$, $G \cong (\mathbb{Z}/12\mathbb{Z})^\times$

	1	5	7	11
χ_1	1	1	1	1
χ_2	1	-1	-1	1
χ_3	1	-1	1	-1
χ_4	1	1	-1	-1

$$S = \{\infty, 2, 3, 5, 7\}$$

$$S_{\min} = \{\infty, 5, 7\}$$

$$\mathbb{Q}(\zeta_{24})/\mathbb{Q}, G \cong (\mathbb{Z}/24\mathbb{Z})^\times$$

	1	5	7	11	13	17	19	23
χ_1	1	1	1	1	1	1	1	1
χ_2	1	-1	-1	1	1	-1	-1	1
χ_3	1	-1	1	-1	-1	1	-1	1
χ_4	1	1	-1	-1	-1	-1	1	1
χ_5	1	1	1	1	-1	-1	-1	-1
χ_6	1	-1	-1	1	-1	1	1	-1
χ_7	1	-1	1	-1	1	-1	1	-1
χ_8	1	1	-1	-1	1	1	-1	-1

$$S = \{\infty, 2, 3, 5, 7, 11\}$$

$$S_{\min} = \{5, 7, 11\}$$

IV. Generalized First Order Stark Conjecture (2001)

Conjecture 2 (Stark) *Let S be a 1-covering of \hat{G} containing all infinite and ramified primes. Let S_{\min} be a minimal 1-subcovering.*

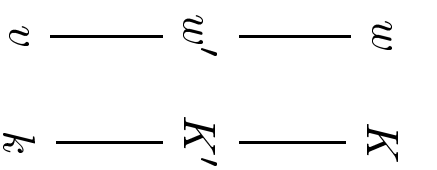
For each $v \in S_{\min}$, fix some $w|v$. There exists an $\varepsilon \in K^\times$ such that

- i. For all primes w' in K not dividing a prime in S , $|\varepsilon|_{w'} = 1$.
If $\#S = \#S_{\min} + 1$, then for $v \in S_0$, $|\varepsilon^\sigma|_w = |\varepsilon|_w$ for $w|v$.
If $\#S > \#S_{\min} + 1$, then $|\varepsilon|_w = 1$ for all w not dividing a prime in S_{\min} .*
- ii. For all $\chi \in \hat{G}$,*

$$L'_S(0, \chi) = -\frac{1}{w_K} \sum_{\sigma \in G} \chi(\sigma) \log \left(\prod_{v \in S_{\min}} |\varepsilon^\sigma|_{\mathbb{T}_{Gv}^1} \right)$$

- iii. $K(w_K \sqrt{\varepsilon})$ is an abelian extension of k .*

Basic Approach



Suppose $v \in S_{\min}$ and $\chi|_{G_v} = 1$. Let $K' = K^{G_v}$.

From F.O.S.C. for K' , there is an $\varepsilon_v \in K'$ such that

$$L'_S(0, \chi) = -\frac{1}{w_{K'}} \sum_{\sigma \in G/G_v} \chi(\sigma) \log \left| \varepsilon_v^\sigma \right|_{w'}$$

Lifting the sum to G and the absolute value to w ,

$$L'_S(0, \chi) = -\frac{1}{w_K} \sum_{\sigma \in G} \chi(\sigma) \log \left| \left(\varepsilon_v^{\frac{w_K}{w_{K'}}} \right)^\sigma \right|_{\frac{1}{w}}^{\frac{1}{|G_v|^2}}$$

By a variety of methods, we can show ε_v is a $|G_v|^{\text{th}}$ power in K' .

The Stark unit for K is $\varepsilon = \prod_{v \in S_{\min}} \eta_v^{\frac{w_K}{w_{K'}}}$, where $\eta_v^{|G_v|} = \varepsilon_v$.

Theorem 2 *The Generalized F.O.S.C. holds for full cyclotomic extensions of \mathbb{Q} .*

This follows from Theorem 1 and a slight modification when ∞ is in S_{\min} .

Theorem 3 *The Generalized F.O.S.C. holds for multiquadratic extensions of \mathbb{Q} .*

This follows from a result of Sands using class field theory. The extra powers of two are precisely what are needed.

VI. Examples

Let $K = \mathbb{Q}(\zeta_{12})$, $k = \mathbb{Q}$, $G = \text{Gal}(K/k) \cong (\mathbb{Z}/12\mathbb{Z})^\times$,
 $S = \{\infty, 2, 3, 5, 7\}$, $S_{\min} = \{\infty, 5, 7\}$.

∞ splits in $\mathbb{Q}(\sqrt{3})$, $\varepsilon_\infty = (2 - \sqrt{3})^4$ for $\infty_{\mathbb{R}} : (\text{fund. unit}) \mapsto 2 + \sqrt{3}$.

5 splits in $\mathbb{Q}(i)$, $\varepsilon_5 = \left(\frac{2-i}{2+i}\right)^4 = \left(\frac{g(5)}{\sqrt{5}}\right)^{16}$ for $\mathfrak{p}_5 = (2 - i)$.

7 splits in $\mathbb{Q}(\zeta_3)$, $\varepsilon_7 = \overline{\zeta_3} \left(\frac{3+\overline{\zeta_3}}{3+\zeta_3}\right)^4 = \left(\frac{g(7)}{\sqrt{-7}}\right)^{12}$ for $\mathfrak{q}_7 = (3 + \overline{\zeta_3})$.

Let $\varepsilon = \varepsilon_\infty^3 \cdot \varepsilon_5^{\frac{3}{2}} \cdot \varepsilon_7$. Then for any $\chi \in \widehat{G}$,

$$L'_S(0, \chi) = -\frac{1}{12} \sum_{\sigma \in G} \chi(\sigma) \log \left(|\varepsilon^\sigma|^{\frac{1}{2}} |_{\infty_c} | \varepsilon^\sigma |_{\mathfrak{p}_5}^{\frac{1}{2}} | \varepsilon^\sigma |_{\Omega_7}^{\frac{1}{2}} \right)$$

and $\varepsilon^{\frac{1}{12}} = (2 - \sqrt{3}) \left(\frac{g(5)}{\sqrt{5}}\right)^2 \left(\frac{g(7)}{\sqrt{-7}}\right)$ lies in an abelian extension of \mathbb{Q} .

Let $K = \mathbb{Q}(\sqrt{-5}, \sqrt{-61})$, $k = \mathbb{Q}$, $G = \text{Gal}(K/k)$, $S = \{2, 5, 61, \infty\}$. $S_{\min} = \{5, 61\}$ is a 1-subcovering consisting of only ramified primes.

61 splits in $\mathbb{Q}(\sqrt{-5})$, $\varepsilon_{61} = \left(\frac{4+3\sqrt{-5}}{4-3\sqrt{-5}}\right)^2$ for $\mathfrak{p}_{61} = (4 + 3\sqrt{-5})$.
 5 splits in $\mathbb{Q}(\sqrt{-61})$, $\varepsilon_5 = \left(\frac{8-\sqrt{-61}}{8+\sqrt{-61}}\right)^2$ for $\mathfrak{q}_5 = (5, 2 + \sqrt{-61})$.

Let $\varepsilon = (\varepsilon_{61} \cdot \varepsilon_5)^{\frac{1}{2}}$. Then for any biquadratic character $\chi \in \hat{G}$,

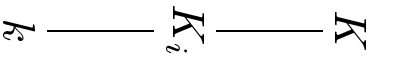
$$L'_S(0, \chi) = -\frac{1}{2} \sum_{\sigma \in G} \chi(\sigma) \log \left(|\varepsilon^\sigma|_{\mathfrak{p}_{61}}^{\frac{1}{2}} |\varepsilon^\sigma|_{\mathfrak{q}_5}^{\frac{1}{2}} \right)$$

and $K(\varepsilon^{\frac{1}{2}}) = K(i)$ is an abelian extension of \mathbb{Q} .

VII. Open Questions

- Does F.O.S.C. for intermediate fields imply the Generalized F.O.S.C.?
- Arbitrary extensions over the base field \mathbb{Q} .
- Base fields other than \mathbb{Q} .
- Function field analogues.
- r -coverings and the r^{th} order zero conjecture. (Popescu, Emmons)

Proof of Theorem 1



Denote $S_0 = S \setminus S_{\min}$. Choose $v_i \in S_{\min}$, fix $w_i | v_i$ in K .

Let $\sigma_i = \text{Frob}(v_i)$, then $G_v = \langle \sigma_i \rangle$, $f_i = |G_v| = \text{ord}(\sigma_i)$.

Let K_i be fixed field by $\langle \sigma_i \rangle$. v_i splits in K_i .

Let $\chi \in \hat{G}$ such that $\chi(\sigma_i) = 1$.

May think of χ as a character on $G/\langle \sigma_i \rangle$.

From the Stark Conjectures for K_i/k , there is an

$\varepsilon_i \in K_i$ such that

$$L'_{S_0 \cup \{v_i\}}(0, \chi) = -\frac{1}{w_{K_i}} \sum_{\sigma \in G/\langle \sigma_i \rangle} \chi(\sigma) \log \left| \varepsilon_i^\sigma \right|_{w_i}$$

For $j \neq i$,

$$\begin{aligned}
 L'_{S_0 \cup \{v_i, v_j\}}(0, \chi) &= (1 - \chi(\sigma_j)) L'_{S_0 \cup \{v_i\}}(0, \chi) \\
 &= -\frac{1}{w_{K_i}} \left[\sum_{\sigma \in G / \langle \sigma_i \rangle} \chi(\sigma) \log |\epsilon_i^\sigma|_{w_i} - \sum_{\sigma \in G / \langle \sigma_i \rangle} \chi(\sigma_j \sigma) \log |\epsilon_i^\sigma|_{w_i} \right] \\
 &= -\frac{1}{w_{K_i}} \left[\sum_{\sigma \in G / \langle \sigma_i \rangle} \chi(\sigma) \log |\epsilon_i^\sigma|_{w_i} - \sum_{\sigma \in G / \langle \sigma_i \rangle} \chi(\sigma) \log |\epsilon_i^{\sigma_j^{-1} \sigma}|_{w_i} \right] \\
 &= -\frac{1}{w_{K_i}} \sum_{\sigma \in G / \langle \sigma_i \rangle} \chi(\sigma) \log \left| \left(\epsilon_i^{1 - \sigma_j^{-1}} \right)^\sigma \right|_{w_i}
 \end{aligned}$$

- Removing the v_j -factor has the effect of applying $1 - \sigma_j^{-1}$ to ε_i .
- Removing each prime in S_1 gives $\varepsilon_i^{\rho_i}$, where $\rho_i = \prod_{j \neq i} (1 - \sigma_j^{-1})$.

$$L'_{S_0 \cup S_{\min}}(0, \chi) = -\frac{1}{w_{K_i}} \sum_{\sigma \in G/\langle \sigma_i \rangle} \chi(\sigma) \log \left| (\varepsilon_i^{\rho_i})^\sigma \right|_{w_i}$$

- Want to lift this sum from $G/\langle \sigma_i \rangle$ to all of G .
- Enough to show that $\varepsilon_i^{\rho_i}$ is an f_i^{th} power, since $\chi(\langle \sigma_i \rangle) = 1$.
- Equivalent to showing f_i divides ρ_i in $\mathbb{Z}[G/\langle \sigma_i \rangle]$.
(See Group Ring Calculations)

$$\begin{aligned}
 L'_{S_0 \cup S_{\min}}(0, \chi) &= -\frac{1}{w_{K_i}} \sum_{\sigma \in G / \langle \sigma_i \rangle} f_i \chi(\sigma) \log \left| \left(\varepsilon_i^{\frac{\rho_i}{f_i}} \right)^\sigma \right|_{w_i} \\
 &= -\frac{1}{w_K} \sum_{\sigma \in G} \chi(\sigma) \log \left| \left(\varepsilon_i^{\frac{w_K}{w_{K_i}} \frac{\rho_i}{f_i}} \right)^\sigma \right|_{w_i}
 \end{aligned}$$

This sum is zero if $\chi(\sigma_i) \neq 1$ or if $\chi(\sigma_j) = 1$ for some $j \neq i$.
 (See Consistency Conditions)

Conclusion Let $\varepsilon = \prod_{i=1}^t \varepsilon_i^{\frac{w_K}{w_{K_i}} \frac{\rho_i}{f_i}}$. Then for any $\chi \in \hat{G}$,

$$L'_S(0, \chi) = -\frac{1}{w_K} \sum_{\sigma \in G} \chi(\sigma) \log \left(\prod_{i=1}^t \left| \varepsilon^\sigma \right|_{w_i} \right)$$

and $w_K \sqrt{\varepsilon}$ lies in an abelian extension of k .

Group Ring Calculations

Lemma 1 *Let G be a finite abelian group, \hat{G} its character group.*

If $\tilde{S} \subset G$ is a 1-covering of \hat{G} , then $\prod_{\sigma \in \tilde{S}} (1 - \sigma) = 0$ in $\mathbb{Z}[G]$.

Lemma 2 *Let G , \hat{G} , and \tilde{S} be as before. Then*

$$\prod_{\substack{\sigma \in \tilde{S} \\ \sigma \neq \sigma_i}} (1 - \sigma)$$

is divisible by $1 + \sigma_i + \sigma_i^2 + \cdots + \sigma_i^{\text{ord}(\sigma_i)-1}$ in $\mathbb{Z}[G]$.

Corollary 1 *In $\mathbb{Z}[G/\langle \sigma_i \rangle]$, $\rho_i = \prod_{j \neq i} (1 - \sigma_j)$ is divisible by*

$f_i = \text{ord}(\sigma_i)$.

Consistency Conditions

- If $\chi(\sigma_i) \neq 1$, then $\sum_{\sigma \in G} \chi(\sigma) \log \left| \left(\varepsilon_i^{\frac{\rho_i}{f_i}} \right)^\sigma \right|_{w_i} = 0$.
- If χ is even and $\chi(\sigma_i) = 1$, then $\sum_{\sigma \in G} \chi(\sigma) \log \left| \left(\varepsilon_i^{\frac{\rho_i}{f_i}} \right)^\sigma \right|_{w_i} = 0$.
- If $\chi(\sigma_i) = 1$ and $\chi(\sigma_j) = 1$ for some $j \neq i$, then $\sum_{\sigma \in G} \chi(\sigma) \log \left| \left(\varepsilon_i^{\frac{\rho_i}{f_i}} \right)^\sigma \right|_{w_i} = 0$.

These conditions can be shown by grouping terms together in the right way, in addition to some group ring calculations.

Proof of Theorem 2

If S_{\min} consists only of unramified primes, then the result follows from Theorem 1.

Suppose $S_{\min} = \{\infty, v_1, \dots, v_t\}$ with v_i unramified.

$\rho_{\infty} = \prod_{i=1}^t (1 - \sigma_i^{-1})$ is divisible 1 + τ in $\mathbb{Z}[G]$ from the group ring calculations. Here, $\sigma_{\infty} = \tau$ is complex conjugation.

Since ε_{∞} is real, $\varepsilon_{\infty}^{\rho_{\infty}}$ is a 2nd power, the size of G_{∞} .

Choose some $v_i \in S_{\min}$, K_i is the fixed field of $G_i = G_{v_i}$. Let $f_i = |G_i|$, $H_i = G/G_i$.

Let ε_i be the Stark unit in K_i for $S_0 \cup \{\infty\} \cup \{v_i\}$.

$$\text{Let } \rho_i = \prod_{j \neq i} (1 - \sigma_j^{-1}) = \sum_{\sigma \in H_i} a_\sigma \sigma.$$

Choose a set of representatives for $H_i/\langle \tau \rangle$. Rewrite

$$\rho_i = (1 + \tau) \sum_{\sigma \in H_i/\langle \tau \rangle} a_\tau \sigma + \sum_{\sigma \in H_i/\langle \tau \rangle} (a_\sigma - a_\tau \sigma) \sigma$$

Since $\varepsilon_i^{1+\tau} = 1$, the first sum of ρ_i kills ε_i :

$$\varepsilon_i^{\rho_i} = \varepsilon_i^{\sum (a_\sigma - a_\tau \sigma) \sigma}$$

From the group ring calculations, $(1 - \tau)\rho_i$ is divisible by f_i in $\mathbb{Z}[H_i]$.

$$\begin{aligned} f_i & \mid (1 - \tau)\rho_i \\ & = (1 - \tau^2) \sum_{\sigma \in H_i / \langle \tau \rangle} a_{\tau\sigma}\sigma + (1 - \tau) \sum_{\sigma \in H_i / \langle \tau \rangle} (a_\sigma - a_{\tau\sigma})\sigma \\ & = \sum_{\sigma \in H_i} (a_\sigma - a_{\tau\sigma})\sigma \end{aligned}$$

Hence, $f_i \mid (a_\sigma - a_{\tau\sigma})$ as integers. We conclude that $\varepsilon_i^{\rho_i}$ is an f_i^{th} power.